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*Attorneys for Irving H. Picard, Trustee  
for the Substantively Consolidated SIPA Liquidation  
of Bernard L. Madoff Investment Securities L.L.C.  
and the Estate of Bernard L. Madoff*

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

SECURITIES INVESTOR PROTECTION  
CORPORATION,

Plaintiff-Applicant,

v.

BERNARD L. MADOFF INVESTMENT  
SECURITIES LLC,

Defendant.

Adv. Pro. No. 08-01789 (SMB)

SIPA LIQUIDATION

(Substantively Consolidated)

In re:

BERNARD L. MADOFF,

Debtor.

IRVING H. PICARD, Trustee for the Liquidation of  
Bernard L. Madoff Investment Securities LLC,

Plaintiff,

v.

AMERICAN SECURITIES MANAGEMENT, L.P.,  
formerly known as AMERICAN SECURITIES,  
L.P., *et al.*

Defendants.

Adv. Pro. No. 10-05415 (SMB)

**NOTICE OF ADJOURNMENT OF PRE-TRIAL CONFERENCE**

**PLEASE TAKE NOTICE** that the pre-trial conference in the above-referenced adversary proceeding, which was previously scheduled for September 28, 2016, has been adjourned to **January 25, 2017 at 10:00 a.m.**

**PLEASE TAKE FURTHER NOTICE** that the above-referenced pre-trial conference will be held before the Honorable Stuart M. Bernstein, United States Bankruptcy Judge.

Dated: September 21, 2016  
New York, New York

**BAKER & HOSTETLER LLP**

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SIPA Liquidation of Bernard L. Madoff  
Investment Securities LLC and the Estate of  
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*David P. Steinmann, individually, as beneficial  
owner of one or more IRAs, and as custodian  
for Catherine L. Steinmann;  
Catherine L. Steinmann;  
Gabriel B. Steinmann;  
Joshua Steinmann;  
Jennifer E. Steinmann;  
David P. Steinmann IRA Rollover #1 & #2;  
David Steinmann Defined Benefit Plan;  
David P. Steinmann Money Purchase Pension  
Plan;  
JJG Enterprises; and  
1185 Park Avenue Foundation, Inc.*

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Abe Mastbaum IRA Rollover;  
Abe Mastbaum IRA SEP; and  
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*Attorneys for Defendants*

*Benjamin E. Nickoll, as trustee of the Charles D. Klein Generation Skipping Trust DTD 7/31/01;*

*CDK Partners;*

*Nan M. Harman, as trustee of the Visalia Trust UAD;*

*Reed L. Harman, as trustee of the Visalia Trust UAD;*

*The Nan M. and Reed L. Harman Foundation;  
Andrew B. Klein, individually, as beneficial owner of one or more IRAs, as trustee and beneficiary of the Charles D. Klein Generation Skipping Trust DTD 7/31/01, as trustee of the Andrew Klein Trust DTD 12/27/97, as trustee of the Elizabeth Klein Trust DTD 12/27/97 and as grantor and trustee of the Andrew B. Klein 1997 Trust DTD 5/15/97;*

*Charles D. Klein, individually, as beneficial owner of one or more IRAs, as custodian and/or trustee of the Charles D. Klein Money Purchase Pension Plan, as beneficiary of the Charles D. Klein Generation Skipping Trust DTD 7/31/01, as custodian and/or trustee of the Jane P. & Charles D. Klein Foundation, and as custodian and/or trustee of The Charles and Jane Klein Family Fund;*

*Elizabeth Klein, individually, as trustee and beneficiary of the Charles D. Klein Generation Skipping Trust DTD 7/31/01 and as trustee and beneficiary of the Elizabeth Klein Trust DTD 12/27/97;*

*Jane P. Klein, individually, as beneficial owner*

*of an IRA, as custodian for Andrew B. Klein and Elizabeth Klein, as trustee of the Trust under will of Donald W. Parsons FBO Jane P. Klein, as trustee of the Elizabeth Klein Trust DTD 12/27/97, as trustee of the Charles D. Klein Generation Skipping Trust DTD 7/31/01, and as trustee of the Andrew Klein 1997 Trust DTD 5/15/97;*  
*The Charles and Jane Klein Family Fund;*  
*Klein Family Holdings, L.L.C.;*  
*Jane P. Klein IRA;*  
*Charles D. Klein IRA Rollover;*  
*Charles D. Klein Money Purchase Pension Plan;*  
*Joseph A. Rossetti, as beneficial owner of an IRA;*  
*Joseph A. Rossetti IRA Rollover;*  
*Susan C. Wolfe, as trustee of the Trust under will of Donald W. Parsons FBO Jane P. Klein;*  
*Laila Hafner, as beneficial owner of an IRA;*  
*Laila Hafner IRA;*  
*Michael G. Fisch;*  
*Michael G. Fisch IRA;*  
*Michael G. Fisch Profit Sharing Plan;*  
*Arlene Marie Pettingill;*  
*Laura M. Roberson-Fisch, as beneficial owner of an IRA ;*  
*Laura M. Roberson-Fisch Money Purchase; Pension Plan; and*  
*Laura M. Roberson-Fisch Profit Sharing Plan.*

**PROSKAUER ROSE LLP**

By: /s/ Marissa Tillem

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owner of an IRA, and as trustee of the Neil B.  
Goldstein 2007 Trust;  
Joyce Goldstein IRA;  
Neil B. Goldstein, individually, as beneficial  
owner of one or more IRAs, as grantor of the  
Neil B. Goldstein 2007 Trust, and as  
beneficiary of the Jean Weiner 1991 Grantor  
Retained Annuity Trust;  
Neil B. Goldstein IRA Rollover;  
South Lake, L.L.C.; and  
Darmel Management, L.L.C.*

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Josephine G. Anagnos;  
Steven Anagnos; and  
Maria Anagnos-Pierce.*

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PJ Associates GP Corp.; and  
PJ Associates Group, L.P. DBA PJ  
Administrator, L.L.C.*

By: /s/ Ivo Rothschild

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*On Behalf of pro se Defendant  
Ivo Rothschild*

By: /s/ Wallace Aptman

1654 Bridgewood Drive  
Boca Raton, Florida 33434  
*On Behalf of pro se Defendants  
Wallace Aptman and  
Wallace Aptman IRA Rollover Account*

By: /s/ Joseph J. Nicholson

2117 NW 2nd Ave  
Wilton Manors, FL 33311  
*On Behalf of pro se Defendant  
Joseph J. Nicholson individually and as Trustee  
of the Joseph J. Nicholson Charitable  
Remainder Unitrust*

**AKERMAN LLP**

By: /s/ Dean A. Dickie

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*Attorney for Defendants*

*Anthony R. Grillo as Trustee of the Joseph J. Nicholson Charitable Remainder Unitrust and Barry F. Margolius as Trustee of the Joseph J. Nicholson Charitable Remainder Unitrust.*

**EMERY CELLI BRINCKEROFF &  
ABADY LLP**

By: /s/ Daniel J. Kornstein

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*Attorney for Defendants*

*American Securities Management, L.P., f/k/a American Securities, L.P.; American Securities Opportunity Fund, L.P.; American Securities Holdings Corp.; American Securities Group, L.L.C.; AS Hirota Holdings Corp.; Elizabeth R. Varet, individually, and as custodian for Joseph R. Varet, as custodian and/or Trustee of the Elizabeth R. Varet Money Purchase Pension Plan, as grantor of the 2004 V Trust for Elizabeth R. Varet, as trustee of the Apollo Trust for Alice R. Sigelman UAD 2/10/69, as trustee and beneficiary of the Apollo Trust for Elizabeth R. Varet UAD 2/10/69, as trustee of the Apollo Trust 2/10/69 FBO Nina Rosenwald, as settlor of Michael A. Varet Trust UAD 11/9/94, as settlor of the Trust 11/9/94 (A. Anagnos et. al., Trustees), as trustee of the Issue 1 Trust UAD 8/30/41 FBO Nina Rosenwald, as trustee of the Issue 1 Trust UAD 8/30/41 FBO Alice Rosenwald, as trustee and beneficiary of the Issue 1 Trust UAD 8/30/41 FBO Elizabeth R. Varet, as trustee of the Issue Trust 5 UAD*



*9/28/51 FBO Nina Rosenwald, as trustee of Issue Trust 5 UAD 9/28/51 FBO Alice Rosenwald, as trustee of the Issue Trust 5 UAD 9/28/51 FBO Benjamin R. Sigelman, as trustee of the Issue Trust 5 UAD 9/28/51 FBO Jonathan R. Sigelman, as trustee of the Issue Trust 5 UAD 9/28/51 FBO David R. Varet, as beneficiary of the Issue Trust 5 UAD 9/28/51 FBO Elizabeth R. Varet, as trustee of the Issue Trust 5 UAD 9/28/51 FBO Joseph R. Varet, as trustee and Beneficiary of the Issue Trust 6 UAD 8/13/65 FBO Elizabeth R. Varet, as trustee of the Issue Trust 6 UAD 8/13/65 FBO Alice Rosenwald, as trustee of the Issue Trust 6 UAD 8/13/65 FBO Nina Rosenwald, as trustee of the Trust UAD 3/4/92 FBO Benjamin R. Sigelman, and as trustee of the Trust UAD 3/4/92 FBO Jonathan R. Sigelman;*  
*Stuart H. Coleman, as trustee of the Apollo Trust for Elizabeth R. Varet UAD 2/10/69, as trustee of the Apollo Trust 2/10/69 FBO Nina Rosenwald, as trustee of Issue 1 Trust UAD 8/30/41 FBO Nina Rosenwald, as trustee of Issue 1 Trust UAD 8/30/41 FBO Elizabeth R. Varet, as trustee of Issue 1 Trust UAD 8/30/41 FBO Alice Rosenwald, as trustee of Issue Trust 5 UAD 9/28/51 FBO Alice Rosenwald, as trustee of Issue Trust 5 UAD 9/28/51 FBO Nina Rosenwald, as trustee of Issue Trust 5 UAD 9/28/51 FBO Benjamin R. Sigelman, as trustee of Issue Trust 5 UAD 9/28/51 FBO Jonathan R. Sigelman, as trustee of Issue Trust 5 UAD 9/28/51 FBO David R. Varet, as trustee of Issue Trust 5 UAD 9/28/51 FBO Elizabeth R. Varet, as trustee of Issue Trust 6 UAD 8/13/65 FBO Elizabeth R. Varet, as trustee of Issue Trust 6 UAD 8/13/65 FBO Alice Rosenwald and as trustee of Issue Trust 6 UAD 8/13/65 FBO Nina Rosenwald;*  
*Ellen V. Greenspan, as trustee of the Trust 11/9/94 (A. Anagnos et. al., trustees); Alice Rosenwald a/k/a Alice Sigelman, individually, as custodian for Jonathan Sigelman, as custodian for Benjamin R. Sigelman, as trustee and beneficiary of Issue 1 Trust UAD 8/30/41 FBO Alice Rosenwald, as*

*trustee and beneficiary of Issue Trust 6 UAD 8/13/65 FBO Alice Rosenwald, as trustee of the Apollo Trust for Elizabeth R. Varet UAD 2/10/69, as trustee of the Apollo Trust 2/10/69 FBO Nina Rosenwald, as trustee of Issue 1 Trust UAD 8/30/41 FBO Nina Rosenwald, as trustee of Issue 1 Trust UAD 8/30/41 FBO Elizabeth R. Varet, as trustee of Issue Trust 5 UAD 9/28/51 FBO Jonathan R. Sigelman, as trustee of Issue Trust 5 UAD 9/28/51 FBO David R. Varet, as trustee of Issue Trust 5 UAD 9/28/51 FBO Elizabeth R. Varet, as trustee of Issue Trust 5 UAD 9/28/51 FBO Sarah R. Varet, as trustee of Issue Trust 6 UAD 8/13/65 FBO Elizabeth R. Varet, as trustee of Issue Trust 6 UAD 8/13/65 FBO Nina Rosenwald and as Executrix of the Estate of Jesse L. Sigelman; Nina Rosenwald, individually, as trustee of the Apollo Trust for Elizabeth R. Varet UAD 2/10/69, as trustee of Issue 1 Trust UAD 8/30/41 FBO Alice Rosenwald, as trustee of Issue 1 Trust UAD 8/30/41 FBO Elizabeth R. Varet, as trustee of Issue Trust 5 UAD 9/28/51 FBO Benjamin R. Sigelman, as trustee of Issue Trust 6 UAD 8/13/65 FBO Elizabeth R. Varet, as trustee of Issue Trust 6 UAD 8/13/65 FBO Alice Rosenwald, as trustee of Issue Trust 5 UAD 9/28/51 FBO Alice Rosenwald, as trustee and beneficiary of the Apollo Trust 2/10/69 FBO Nina Rosenwald, as trustee and beneficiary of Issue 1 Trust UAD 8/30/41 FBO Nina Rosenwald, as trustee and beneficiary of Issue Trust 5 UAD 9/28/51 FBO Nina Rosenwald and as trustee and beneficiary of Issue Trust 6 UAD 8/13/65 FBO Nina Rosenwald; Benjamin R. Sigelman, individually, as beneficiary of the Issue Trust 5 UAD 9/28/51 FBO Benjamin R. Sigelman, and as beneficiary of the Trust UAD 3/4/92 FBO Benjamin R. Sigelman; Jonathan R. Sigelman, individually, and as beneficiary of the Issue Trust 5 UAD 9/28/51 FBO Jonathan R. Sigelman, as beneficiary of the Trust UAD 3/4/92 FBO Jonathan R. Sigelman; The Estate of Jesse L. Sigelman;*

*David R. Varet;*  
*Joseph R. Varet, individually, and as*  
*beneficiary of the Issue Trust 5 UAD 9/28/51*  
*FBO Joseph R. Varet;*  
*Michael A. Varet, individually, as beneficial*  
*owner of one or more IRAs, as custodian for*  
*David R. Varet and Sarah R. Varet, and as*  
*trustee of the Michael A. Varet Trust UAD*  
*11/9/94;*  
*Sarah R. Varet, individually and as trustee and*  
*beneficiary of the Issue Trust 5 UAD 9/28/51*  
*FBO Sarah R. Varet;*  
*Elizabeth R. Varet IRA Rollover;*  
*Michael A. Varet IRA Rollover;*  
*Michael A. Varet IRA Rollover #2;*  
*Elizabeth R. Varet Defined Benefit Plan &*  
*Trust;*  
*Elizabeth R. Varet Money Purchase Pension*  
*Plan;*  
*Decimal Investments, L.L.C.;*  
*Hudson Charitable Fund;*  
*P&I Partners;*  
*The Abstraction Fund;*  
*American Philanthropic Foundation;*  
*Anchorage Charitable Fund;*  
*JJG Foundation, Inc.;*  
*Metropolitan Philanthropic Fund, Inc.*  
*Alice Rosenwald Fund;*  
*William Rosenwald Family Fund, Inc.;*  
*James R. Ledley, as trustee of Issue Trust 5*  
*UAD 9/28/51 FBO Jonathan R. Sigelman and as*  
*trustee of the Trust UAD 3/4/92 FBO Jonathan*  
*R. Sigelman;*  
*Alexander G. Anagnos as trustee of the 2004 V*  
*Trust and as trustee of Trust 11/9/94 (A.*  
*Anagnos et al., trustees);*  
*Ronald Stein as trustee of the Trust UAD 3/4/92*  
*FBO Benjamin R. Sigelman and as trustee of the*  
*Trust UAD 3/4/92 FBO Jonathan R. Sigelman;*  
*Belinda Clarke, as trustee for the Concorde*  
*1987 Trust DTD 12/9/87; and*  
*Peter Pearman, as trustee for the Concorde*  
*1987 Trust DTD 12/9/87.*

<b>Defendants</b>
1185 Park Avenue Foundation, Inc.
Abe Mastbaum
Abe Mastbaum IRA
Abe Mastbaum IRA Rollover
Abe Mastbaum IRA SEP
Abstraction Fund
Alexander G. Anagnos, as trustee
Alice Rosenwald a/k/a Alice R. Sigelman
Alice Rosenwald Fund
American Philanthropic Foundation
American Securities Group, L.L.C.
American Securities Holdings Corp.
American Securities Management, L.P., f/k/a American Securities, L.P.
American Securities Opportunity Fund, L.P.
Anchorage Charitable Fund
Andrew B. Klein
Anthony R. Grillo, as trustee
Arlene Marie Pettingill
AS Hirota Holdings Corp.
Barry F. Margolius, as trustee
Belinda Clarke, as trustee
Benjamin E. Nickoll, as trustee
Benjamin R. Sigelman
Catherine L. Steinmann
CDK Partners
Charles D. Klein
Charles D. Klein IRA Rollover
Charles D. Klein Money Purchase Pension Plan
Darmel Management, L.L.C.
David P. Steinmann
David P. Steinmann IRA Rollover #1
David P. Steinmann IRA Rollover #2
David P. Steinmann Money Purchase Pension Plan
David R. Varet
David Steinmann Defined Benefit Plan
Decimal Investments, L.L.C.
Elizabeth Klein
Elizabeth R. Varet
Elizabeth R. Varet Defined Benefit Plan & Trust
Elizabeth R. Varet IRA Rollover
Elizabeth Varet Money Purchase Pension Plan
Ellen V. Greenspan, as trustee
Estate of Jesse L. Sigelman

**Exhibit A**

<b>Defendants</b>
Gabriel B. Steinmann
George Anagnos
Hudson Charitable Fund
Ivo Rothschild
James R. Ledley, as trustee
Jane P. Klein
Jane P. Klein IRA
Jason Mastbaum
Jennifer E. Steinmann
JJG Enterprises
JJG Foundation, Inc.
Jonathan R. Sigelman
Joseph A. Rossetti IRA Rollover
Joseph A. Rossetti, as beneficial owner of an IRA
Joseph J. Nicholson
Joseph R. Varet
Josephine G. Anagnos
Joshua Steinmann
Joyce W. Goldstein
Joyce W. Goldstein IRA
Klein Family Holdings, L.L.C.
Laila Hafner IRA
Laila Hafner, as beneficial owner of an IRA
Laura M. Roberson-Fisch IRA
Laura M. Roberson-Fisch Money Purchase Pension Plan
Laura M. Roberson-Fisch Profit Sharing Plan
Laura M. Roberson-Fisch, as beneficial owner of an IRA
Laurence Ach, as trustee
Maria Anagnos-Pierce
Metropolitan Philanthropic Fund, Inc.
Michael A. Varet
Michael A. Varet IRA Rollover
Michael A. Varet IRA Rollover #2
Michael G. Fisch
Michael G. Fisch IRA
Michael G. Fisch Profit Sharing Plan
Minor #1
Nan M. Harman, as trustee
Neil B. Goldstein
Neil B. Goldstein IRA Rollover
Nina Rosenwald
P&I Partners
Peter Pearman, as trustee

<b>Defendants</b>
PJ Administrator, L.L.C.
PJ Associates Group GP Corp.
PJ Associates Group, L.P.
Reed L. Harman, as trustee
Ronald J. Stein, as trustee
Sarah R. Varet
South Lake, L.L.C.
Steven Anagnos
Stuart H. Coleman, as trustee
Susan C. Wolfe, as trustee
The Charles and Jane Klein Family Fund
The Reed L. Harman and Nan M. Harman Foundation
Wallace Aptman
Wallace Aptman IRA Rollover
William Rosenwald Family Fund, Inc.